










Appendix 4  
F. Miscellaneous Statements

Exhibit Type F. Misc. Statements	Exhibit Name	Exhibit File
F-1	MFR LTC (b) (6) Telephone Interview 3 JUN 14	 MFR LTC (b) (6) Telephone Interview
F-2	Mr. (b) (6) Email 27 MAY 14	 Mr. (b) (6) Email 27 MAY 14
F-3	Mr. (b) (6) Email 19 MAY 14	 Mr. (b) (6) Email 19 MAY 14
F-4	Mr. (b) (6) Email 30 MAY 14	 Mr. (b) (6) Email 30 MAY 14
F-5	Ms. (b) (6) Email 12 May 14	 Ms. (b) (6) Email 12 May 14
F-7	Email Traffic Mr. (b) (6) - COL (b) (6) 13 MAY 14	 Email Traffic Mr. (b) (6) - COL (b) (6) 13 M
F-8	MFR Mr. (b) (6) Telephone Interview 4 JUN 14	 MFR (b) (6) Interview 4 JUN 14
F-9	MFR LTC (b) (6) Email Summary 6 JUN 14	 MFR LTC (b) (6) Email Transcript 6 JUN
F-10	MFR Dr. (b) (6) Telephone Interview 9 JUN 14	 MFR Dr. (b) (6) Telephone Interview

3 June 2014

MEMORANDUM FOR RECORD

SUBJECT: Summary of Telephone Interview with LTC (b) (6) Deputy Army Pharmacy Consultant, Defense Health Headquarters, Falls Church, VA, on 16 May 2014 from 1100-1130

1. As part of the 15-6 investigation into the 2 April Fort Hood shooting, LTC (b) (6) provided information concerning the OTSG Policy 13-032 on Polypharmacy. LTC (b) (6) is the Deputy Army Pharmacy Consultant at Defense Health Headquarters (DHHQ) in Falls Church, VA. LTC (b) (6) was sworn to tell the truth, the whole truth, and nothing but the truth.
2. LTC (b) (6) gave an overview of the procedures that lead to the production of the monthly polypharmacy report. All polypharmacy reports throughout the Army are produced on the 15<sup>th</sup> of every month by the Pharmacy Analytics & Support Section (PASS) under the Pharmacoeconomic Branch (PEC-B) at the Defense Health Agency Pharmacy Division in San Antonio, TX.
3. PASS creates the lists for each Medical Treatment Facility using computer algorithms that factor in date of prescription, amount of medication, dosage, and dosing instructions. Each MTF must first provide an alpha roster of all personnel to PASS. PASS posts the polypharmacy list on a central, secure website on the 15<sup>th</sup> of every month.
4. Each MTF pharmacy must then scrub the list to determine if Soldiers are still on post, and possibly conduct a clinical interview to ascertain if the Soldiers are actually taking all the medications simultaneously. Information may be passed on to the Soldiers' primary care managers for adjustment of the medications.
5. It would take 216 full-time clinical pharmacists to interview every Soldier in every bucket of the polypharmacy policy. The Army currently has 46 authorizations for clinical pharmacists. At present time, there are 56 additional over-hires bringing the total to 102 clinical pharmacists. Each MTF must fund their own polypharmacy program internally, so these over-hires are funded through various means at different MTFs. Many MTFs do not have enough pharmacists to perform any polypharmacy at all.
6. There is no reliable mechanism for polypharmacy reports to follow Soldiers when they change duty stations. When Soldiers inprocess, they sometimes do not update their address in DEERS immediately. The local MTF cannot create accurate alpha rosters without DEERS. It was also unclear how a pharmacist would know to look up

SUBJECT: Summary of Telephone Interview with LTC (b) (6) Deputy Army  
Pharmacy Consultant, Defense Health Headquarters, Falls Church, VA, on 16 May  
2014 from 1100-1130

another post's polypharmacy report when a new Soldier shows up in DEERS at their  
new post.

(b) (6)

A large black rectangular redaction box covers the majority of the text in this section, starting below the '(b) (6)' label and extending to the right and down.

LTC, MC  
Program Director, Forensic Psychiatry  
Fellowship, Center for Forensic Behavioral  
Sciences

(b) (6) CIV USARMY HQDA PMG (US)

From: (b) (6) CIV USARMY HQDA PMG (US)  
Sent: Monday, June 02, 2014 10:42  
To: (b) (6) CIV USARMY HQDA PMG (US)  
Subject: Nexus to Terrorism (UNCLASSIFIED)

Classification: UNCLASSIFIED  
Caveats: NONE

-----Original Message-----

From: (b) (6) (b) (6)  
Sent: Tuesday, May 27, 2014 01:59 PM  
To: (b) (6) CIV USARMY HQDA PMG (US)  
Cc: (b) (6); (b) (6)  
Subject: FW: Nexus to Terrorism (UNCLASSIFIED)

(b) please see my comments below...if you have additional question do not hesitate to reply mail.

- CID: Incident USUSAFHBN-2013-00012, Suspicious Activity - Insider Threat at Ft. Sam Houston  
- "YES - Nexus to Terrorism" This assessment relates to or enhances an existing Preliminary Investigation / Full Investigation. This assessments was opened and closed as an information only report due to USACIDC's open a Full Investigation and because USACIDC have primacy over subject.

- CID: Incident COUSAFTCN-2013-00002, Suspicious Activity - Communicating a Threat at Ft. Carson - "YES - Nexus to Terrorism" This assessment resulted in a Full Investigation (415M-DN-3476242) and should be closed.

- CID: Incident MDUSAFTMD-2013-00014, Suspicious Activity - Sovereign Citizen Contact at Ft. Meade - "YES - Nexus to Terrorism" This assessment has resulted or will result in a new Preliminary Investigation / Full Investigation. If you need additional information the Guardian case number is 229952\_BA.

- CID: Incident DCUSA0400-2013-00005, Suspicious Activity - Rte 1. and Pohick Rd near Ft. Belvoir - "YES - Nexus to Terrorism" This assessment relates to or enhances and existing investigation.

- CID: Incident HQUSACID-2014-00006, Expressed or Implied Threat - Possible Terrorist Threat at Superbowl through Ft. Knox Family Outreach Website - "YES - Nexus to Terrorism" This assessment relates to or enhances and existing investigation.

(b) (6)

(b) (6)  
Senior Law Enforcement Advisor  
Counterterrorism Division  
935 Pennsylvania Ave., NW  
Room 4933  
Washington, DC 20535

(b) (6)

(b) (6) CIV USARMY HQDA PMG (US)

From: (b) (6) A CIV USARMY HQDA PMG (US)  
Sent: Monday, May 19, 2014 14:44  
To: (b) (6) CIV USARMY HQDA PMG (US)  
Subject: FW: Ft. Hood / eG (UNCLASSIFIED)

Classification: UNCLASSIFIED  
Caveats: NONE

b. There are currently 486 eGuardian accounts within the Army. Ft. Hood maintains six (6) PM/DES and 16 CID eGuardian accounts, roughly 4.5% of all Army eGuardian accounts.

c. During FY13, the Army produced 260 SARs in the eGuardian system. In the same year, Ft. Hood eGuardian users produced 13 SARs, 5% of all Army SARs. In the first half of FY14, the Army has entered 116 SARs in the system. Ft. Hood eGuardian users have entered eight (8) SARs into the system, roughly 7%, of the Army SARs entered in FY14. Five (5) of the 116 SARs from FY14 have been determined to have a nexus to terrorism.

Classification: UNCLASSIFIED  
Caveats: NONE

(b) (6) CIV USARMY HQDA PMG (US)

From: (b) (6) CIV USARMY HQDA PMG (US)  
Sent: Monday, June 02, 2014 10:38  
To: (b) (6) CIV USARMY HQDA PMG (US)  
Subject: Juarez Travel Waiver Authority (UNCLASSIFIED)  
Signed By: (b) (6)

Classification: UNCLASSIFIED  
Caveats: NONE

-----Original Message-----

From: (b) (6) CIV USARMY ARNORTH (US)  
Sent: Friday, May 30, 2014 10:46 AM  
To: (b) (6) CIV USARMY HQDA PMG (US)  
Cc: (b) (6) LTC USARMY ARNORTH (US); (b) (6) Jr CIV USARMY  
ARNORTH (US); (b) (6) CIV USARMY HQDA PMG (US); (b) (6)  
CIV (US); (b) (6) CIV (US)  
Subject: RE: Juarez Travel Waiver Authority (UNCLASSIFIED)

Classification: UNCLASSIFIED  
Caveats: NONE

(b) (6)

USARNORTH did not receive a request for exception to travel restrictions for SPC Lopez-Lopez for travel into Juarez, Mexico during 2013-2014.

USARNORTH has not received any request for exception to travel requests for soldiers traveling into Mexico from Fort Bliss commands during 2013 - 2014.

Government of Mexico requires US citizens to have a current US Passport to enter Mexico.

Please let me know if you need any additional information.

Very Respectfully,

(b) (6)

Deputy, Operations Division  
Provost Marshal / Protection Directorate  
US Army North

(b) (6)

Classification: UNCLASSIFIED  
Caveats: NONE

(b) (6) CIV USARMY HQDA PMG (US)

From: (b) (6) CIV USARMY HQDA PMG (US)  
Sent: Tuesday, May 20, 2014 15:06  
To: (b) (6) CIV USARMY HQDA PMG (US)  
Subject: FW: Crim History (UNCLASSIFIED)  
Attachments: List of installations not reporting crim hist.docx

Here you go...

From: (b) (6) CIV USARMY HQDA PMG (US)  
Sent: Monday, May 12, 2014 12:27 PM  
To: (b) (6) CIV USARMY HQDA PMG (US)  
Subject: FW: Crim History (UNCLASSIFIED)

Here is the list of those not reporting for last month.

-----Original Message-----

From: (b) (6) CIV USARMY HQDA PMG (US)  
Sent: Wednesday, May 07, 2014 09:10 AM  
To: (b) (6) CIV USARMY HQDA PMG (US)  
Subject: RE: Crim History (UNCLASSIFIED)

Sir,

Here is the list.

v/r  
(b) (6)

-----Original Message-----

From: (b) (6) CIV USARMY HQDA PMG (US)  
Sent: Wednesday, May 07, 2014 08:45 AM  
To: (b) (6) CIV USARMY HQDA PMG (US)  
Subject: Re: Crim History (UNCLASSIFIED)

Who's missing?

----- Original Message -----

From: (b) (6) CIV USARMY HQDA PMG (US)  
Sent: Wednesday, May 07, 2014 12:39 PM Coordinated Universal Time  
To: (b) (6) CIV USARMY HQDA PMG (US)  
Subject: FW: Crim History (UNCLASSIFIED)

Sir,

As FYI, in case you wanted to know who is reporting for the crim history.

v/r  
(b) (6)

-----Original Message-----

From: (b) (6) CIV USARMY USACIDC (US)  
Sent: Tuesday, May 06, 2014 05:27 PM  
To: (b) (6) CIV USARMY HQDA PMG (US)

- 290th MP Brig
- 451st ESC
- Adelphi Laboratory Center
- Camp As Sayliyah
- Camp Bonifas
- Camp Carroll
- Camp Casey
- Camp Darby
- Camp George
- Camp Henry
- Camp Hovey
- Camp Jackson
- Camp Parks
- Camp Stanley
- Camp Walker
- Carlisle Barracks
- Detroit Arsenal
- Dugway Proving Gnd
- FT A.P. Hill
- FT Belvoir
- FT Bliss
- FT Buchanan
- FT Devens
- FT Dix
- FT Eustis
- FT Greely
- FT Hamilton
- FT Hunter Liggett
- FT Irwin
- FT Lee
- FT McNair
- FT Meade
- FT Myer
- FT Shafter
- FT Stewart
- FT Story
- FT Wainwright
- GITMO
- HQ IMCOM
- Hunter Army Airfield
- JSMC
- JTF-B Honduras
- Kosovo MP Station
- Kwajalein Atoll
- Lewis-McChord
- Parks RFTA
- Picatinny Arsenal
- Pier 8
- Pohakuloa Training Area
- PTA
- Pusan Storage Facility
- Redstone Arsenal
- Schofield Barracks
- Soldier Systems Center
- USAG Baden Wurttemberg
- USAG Bamberg
- USAG Benelux
- USAG Brussels
- USAG Casey
- USAG Chievres
- USAG Daegu
- USAG Darmstadt
- USAG Garmisch
- USAG Giessen
- USAG Hessen (Hanau)
- USAG Hohenfels
- USAG Illesheim
- USAG Kitzingen
- USAG Livorno
- USAG Mannheim
- USAG Miami
- USAG NATICK
- USAG Schinnen
- USAG West Point
- USAG Yongsan
- USAGJ
- USARCENT
- Yuma Proving Ground

-----Original Message-----

From: (b) (6) CIV USARMY HRC (US)  
Sent: Tuesday, May 13, 2014 9:42 AM  
To: (b) (6) COL USARMY HQDA DCS G-1 (US)  
Subject: Reclassification data and reassignment policy (UNCLASSIFIED)

Classification: UNCLASSIFIED

Caveats: NONE

(b) (6)

We looked at the data for the past 3 years and on average only 11% of our MOS reclassifications come from OCONUS locations.

I have attached the para 4-2 or AR 600-8-11 which deals with attending training in conjunction with a PCS. Keep in mind there is a difference when a Soldier is attending a NCOES course and a course to change their MOS....the assignment instructions for Soldiers who are attending MOS training are based on the Soldier graduating the course, if they don't graduate the Soldier is no longer qualified for the assignment. Completing NCOES has no impact on the Soldier's assignment, if they fail they are still qualified for the assignment.

My Recommendations:

1. All CONUS based Soldiers who are directed to TDY schooling for a MOS/SQI/ASI/LANG producing course in conjunction with a PCS assignment must elect one of the following:

a. Elect that dependent(s) currently residing in Government quarters be permitted to remain in Government quarters until completion of TDY period. Under this option Soldier is authorized Government travel to and from TDY station and his or her commander may authorize up to 10 duty days to prepare to move dependent(s) upon return from TDY prior to signing out of the present CONUS station (applies CONUS to CONUS, and CONUS to overseas PCS movements).

b. Elect to return to present duty station upon completion of TDY to move dependent(s), who currently live on the local economy (CONUS), to the new duty station. Under this option Soldier is authorized Government travel to and from TDY station, and his or her commander may authorize up to 10 duty days upon return from TDY to prepare to move dependent(s) prior to signing out of the present CONUS station (applies

to CONUS to CONUS, and CONUS to overseas PCS movements).

I believe this will alleviate a situation like this Soldier went through. It also makes sense to not allow a Soldier to move dependents/household goods to the new duty station prior to the Soldier completing the training. They should go to training and come back to outprocess.

2. No changes to OCONUS based Soldiers.

3. This is separate COA that could also alleviate the same situation: Provide HRC Retention and Reclassification with their own fund site for training. Currently we are required to use MTSA (Military Training Specific Allotment) which requires TDY enroute or force Commander's to use their ACOM Mission Accounts for TDY and return when it is the Army directing this training not the Commander. I believe having specific funding for Reenlistment/Reclassification training would allow HRC to send more Soldiers to training TDY and Return which would reduce PCS cost and allow families to stay at current installation while the Soldier attends training.

Hope this is what you are looking for.

(b) [REDACTED]  
(6) [REDACTED]

(b) (6) [REDACTED]

Chief, Retention & Reclassification Branch  
HRC, LTG Timothy Maude Complex, FT Knox

(b) (6) [REDACTED]

Classification: UNCLASSIFIED  
Caveats: NONE

overseas areas, of Soldiers and their Family members entitled or authorized to travel by law, regulation and departmental policy.

#### **4-2. Headquarters, Department of the Army-directed temporary duty for schooling in conjunction with permanent change of station**

Soldiers who are authorized movement of Family members at Government expense and are directed to TDY schooling with PCS assignment will have the following options for locating their Family members while they perform their TDY:

*a.* Elect that dependent(s) currently residing in Government quarters be permitted to remain in Government quarters until completion of TDY period. Under this option Soldier is authorized Government travel to and from TDY station and his or her commander may authorize up to 10 duty days to prepare to move dependent(s) upon return from TDY prior to signing out of the present CONUS station (applies CONUS to CONUS, and CONUS to overseas PCS movements).

*b.* Elect to move dependent(s) from present CONUS and/or overseas station to new CONUS duty station prior to reporting to the TDY station. The gaining commander may authorize up to 10 duty days to settle Soldier's dependent(s), in Government quarters (if available) or on the local economy. Soldier will sign into the new CONUS duty station, then proceed TDY for schooling. Soldier will be authorized Government transportation to and from TDY station (applies to CONUS to CONUS, and overseas to CONUS PCS movements).

*c.* Elect to return to present duty station upon completion of TDY to move dependent(s), who currently live on the local economy (CONUS), to the new duty station. Under this option Soldier is authorized Government travel to and from TDY station, and his or her commander may authorize up to 10 duty days upon return from TDY to prepare to move dependent(s) prior to signing out of the present CONUS station (applies to CONUS to CONUS, and CONUS to overseas PCS movements).

*d.* Elect to clear current permanent station prior to departure for TDY station; and have dependent(s), at personal expense, accompany Soldier to TDY station or travel to some other location. Soldier may not be given a certificate of nonavailability of Government quarters at the TDY station if adequate Government housing is available. Soldier's entitlement for dependent transportation will be based on the most direct routing between the old permanent station and the new permanent station (applies CONUS to CONUS, CONUS to overseas, and overseas to CONUS PCS movements). Soldiers who are being reassigned overseas must be medically and dentally qualified for assignment.

#### **4-3. Family travel requests (movement to gaining overseas area)**

*a.* The Personnel Reassignment Work Center will determine category of travel and Family travel application requirements (use AR 614-30, app B, for tour length information and AR 55-46 for additional Family travel information) if Soldier is being assigned to an overseas area.

*b.* The Personnel Reassignment Work Center will enter and authenticate Soldier and Family member data in consultation with the Soldier by initiating DA Form 5888 at the Soldier's reassignment briefing. See AR 608-75, appendix E, for completion instructions. Ensure the Soldier takes form to the servicing military treatment facility (MTF). The MTF physician will authenticate the form in accordance with AR 608-75. Upon completion of the EFMP screening, make the DA Form 5888 an enclosure to the Soldier's request for overseas Family travel.

*c.* Initiate DA Form 4787 and have Soldier complete form and certify Family members by signing the form.

*d.* The eMILPO Family Member module has one-way (Defense Enrollment Eligibility Reporting System) DEERS to eMILPO interface. Confirm that Family members are listed in eMILPO Family Member Module/DEERS. Follow eMILPO guidance on updating Family members.

*e.* Forward request to the overseas command (for example, fax, email). Forward entire enrollment packet for EFMP enrollees.

#### **4-4. Family travel requests (movement to designated location)**

*a.* Soldier will inform unit of desire to move Family members to a designated location. Unit will inform BN S1. BN S1 will complete DA Form 4187 and forward approved forms to the Personnel Reassignment Work Center. Personnel Reassignment Work Center will verify Family members listed on DA Form 4187 in consultation with Soldier. Confirm that Family members are listed in eMILPO Family Member Module and in DEERS. Follow eMILPO guidance on updating Family members.

*b.* Personnel Reassignment Work Center will determine travel options. See AR 55-46, chapter 5 for additional explanation.

#### **4-5. Passport and visa applications**

Passport and visa applications will be submitted according to DOD 1000.21-R and the Department of State Passport Agent's Manual.

#### **4-6. Family travel decisions**

The Family Travel Approval Authority is responsible for rendering family travel decisions.

4 June 2014

MEMORANDUM FOR RECORD

SUBJECT: Summary of Telephone Interview with Mr. (b) (6) Forensic Toxicologist at Office for the Armed Forces Medical Examiner (OAFME), Dover Air Force Base, Delaware, on 4 Jun 2014 from 1115-1130

1. As part of the 15-6 investigation into the 2 April Fort Hood shooting, Mr. (b) (6) provided information concerning the analysis of blood for the presence of medications and illegal substances during autopsies. Mr. (b) (6) is a forensic toxicologist at OAFME, Dover Air Force Base, Delaware. Mr. (b) (6) was sworn to tell the truth, the whole truth, and nothing but the truth.

2. Mr. (b) (6) reported that all foreign chemicals in the blood are detected mainly through gas chromatography or immunoassay. The actual list of detectable medications is extensive, so I asked him (b) (6)

(b) (6)

(b) (6)

LTC, MC  
Program Director, Forensic Psychiatry  
Fellowship, Center for Forensic Behavioral  
Sciences

6 June 2014

MEMORANDUM FOR RECORD

SUBJECT: Email from LTC (b) (6) Deputy Chief, Behavioral Health Division, Office of the Surgeon General (OTSG), Falls Church, VA, on 5 Jun 14 regarding the OTSG internal assessment of MEDCOM compliance with OTSG/MEDCOM Policy Memo 13-007 (Procedures for Transferring Care During PCS and ETS for Soldiers Involved with Family Advocacy Program or Behavioral Health dated 20 Feb 13).

1. Enclosure 1 includes an exact transcript of the email correspondence between myself and LTC (b) (6) Deputy Chief of the Behavioral Health Division for The Office of the Surgeon General (OTSG) on 5 June 2014 regarding the OTSG internal assessment of MEDCOM compliance with OTSG/MEDCOM Policy Memo 13-007 (Procedures for Transferring Care During PCS and ETS for Soldiers Involved with Family Advocacy Program or Behavioral Health dated 20 Feb 13).

(b) (6)

Encl

LTC, MC  
Program Director, Forensic Psychiatry  
Fellowship, Center for Forensic Behavioral  
Sciences

From: (b) (6) LTC USARMY HQDA OTSG (US)  
Sent: Thursday, June 05, 2014 2:56 PM  
To: (b) (6) LTC USARMY DHA NCR MEDICAL DIR (US); (b) (6)  
(b) LTC USARMY HQDA OTSG (US)  
Subject: RE: IO policy (UNCLASSIFIED)

Classification: UNCLASSIFIED  
Caveats: NONE

(b) (6)

The current policy (OTSG Policy 13-007) appears operational at the losing installation side, but has some systematic flaws on the gaining side. Specifically, there is substantial variance in how it is implement related to how gaining installations are contacted as Soldiers execute movement. OTSG MEDCOM recognized this issue and has established an IT solution to resolve inconsistent communication between losing and gaining installations in transitioning recognized care requirements. OTSG has designed an electronic handoff process through its Behavioral Health Data Portal that will flag Soldiers when transitioning between installations with BH care requirements. At the gaining installation, all that will be required is to confirm the existence of the flag, which will generate a BH contact at that location, removing the need to manually notify gaining installations and establish appointment times as part of the losing organizations out processing processes. OTSG MEDCOM has a revised policy 13-007 replacement that will operationalize this new model, however, as the Army is relooking all medical screening processes at transition, this new policy is delayed so that the standardized process put into place by the Army wide review will synchronize with the revised IT solution specific to BH. Once implemented, this will improve BH care transition at PCS for all Soldiers with identified conditions requiring transfer of care as well as comply with the tenets of DODI 6490.10.

(b) (6)

(b) (6)

LTC, MS  
Deputy Chief, Behavioral Health Division

Office of the Surgeon General  
7700 Arlington Blvd.  
Room 3SW113  
Falls Church, VA 22042

(b) (6)

Classification: UNCLASSIFIED  
Caveats: NONE

9 June 2014

MEMORANDUM FOR RECORD

SUBJECT: Summary of Telephone Interview with Dr. (b) (6) Assistant Research Director, Army G-1 on 5 Jun 14 from 1000-1015

1. As part of the 15-6 investigation into the 2 April Fort Hood shooting, Dr. (b) (6) provided information concerning the Commander's Risk Reduction Dashboard (CRRD). Dr. (b) (6) is an Assistant Research Director for the Army G-1, involved in the creation and testing of the CRRD. Dr. (b) (6) was sworn to tell the truth, the whole truth, and nothing but the truth.

2. Dr. (b) (6) stated that SPC Lopez-Lopez would not have met criteria for a high risk Soldier on the CRRD. He also opined that the only medical information that currently enters the CRRD is from eProfile. (b) (6)

(b) (6)

(b) (6)

LTC, MC  
Program Director, Forensic Psychiatry  
Fellowship, Center for Forensic Behavioral  
Sciences