

## Appendix 5, TAB A — Medical Personnel and Records Consulted

1. All military medical records are stored in an Electronic Health Record (EHR). The computer system that maintains this record, and which medical providers interface with, is called AHLTA.

2. Medical record notes by the following providers constituted the majority of Specialist Lopez-Lopez's significant medical events:

(b) (6)

4ABCT/1AD Physician's Assistant at Fort Bliss<sup>1</sup>

BCT/1AD EBH Social Worker therapist at Fort Bliss<sup>2</sup>

BCT/1AD EBH Psychiatrist at Fort Bliss<sup>3</sup>

4ABCT/1AD EBH Psychiatrist at Fort Bliss<sup>4</sup>

4ABCT/1AD EBH psychiatrist at Fort Bliss<sup>5</sup>

(b) (6)

physical therapist at William Beaumont Army Medical Center (WBAMC), Fort Bliss<sup>6</sup>

(b) (6)

cardiologist at WBAMC, Fort Bliss<sup>7</sup>

(b) (6)

Traumatic Brain Injury physician at WBAMC, Fort Bliss<sup>8</sup>

(b) (6)

4 ABCT/1AD Physician's Assistant at Fort Bliss<sup>9</sup>

(b) (6)

nurse practitioner at Victory Clinic, Fort Leonard Wood<sup>10</sup>

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<sup>1</sup> Exhibit H-1 Lopez-Lopez AHLTA notes dated 20 July 2020, 27 August 2010, 17 September 2010, 17 November 2010, 30 November 2010, 28 February 2011, 11 March 2011, 2 June 2011, 13 February 2012, 1 August 2012, 18 December 2012, 26 December 2012, 15 February 2013, 25 March 2013, 2010 April 2013, 2 July 2013, 12 July 2013, and 1 August 2013.

<sup>2</sup> Exhibit H-1 Lopez-Lopez AHLTA notes dated 14 June 2013, 31 July 2013, 2 October 2013, and 28 October 2013.

<sup>3</sup> Exhibit H-1 Lopez-Lopez AHLTA note dated 20 June 2013.

<sup>4</sup> Exhibit H-1 Lopez-Lopez AHLTA notes dated 31 July 2013 and 27 August 2013.

<sup>5</sup> Exhibit H-1 Lopez-Lopez AHLTA notes dated 24 September 2013, 24 September 2013, 28 October 2013, 15 November 2013, and 19 November 2013.

<sup>6</sup> Exhibit H-1 Lopez-Lopez AHLTA note dated 6 December 2010.

<sup>7</sup> Exhibit H-1 Lopez-Lopez AHLTA note dated 25 January 2013.

<sup>8</sup> Exhibit H-1 Lopez-Lopez AHLTA note dated 8 August 2013.

<sup>9</sup> Exhibit H-1 Lopez-Lopez AHLTA notes dated 30 October 2013 and 2013 November 2013.

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(b) (6) [REDACTED] psychiatrist at Carl R. Darnall Army Medical Center (CRDAMC), Fort Hood<sup>11</sup>

(b) (6) [REDACTED] internist at CRDAMC, Fort Hood<sup>12</sup>

(b) (6) [REDACTED], cardiologist at CRDAMC, Fort Hood<sup>13</sup>

3. Of the above providers, individual interviews were also conducted with (b) (6) [REDACTED], (b) (6) [REDACTED]

4. The following medical personnel were consulted on issues of procedure and policy at their respective posts:

(b) (6) [REDACTED], Deputy Commander of Clinical Services (DCCS) at William Beaumont Army Medical Center (WBAMC), Fort Bliss<sup>14</sup>

(b) (6) [REDACTED] 1AD Division Surgeon, Fort Bliss<sup>15</sup>

(b) (6) [REDACTED] pharmacist at William Beaumont Army Medical Center, Fort Bliss

(b) (6) [REDACTED] chief of psychological health at Fort Leonard Wood<sup>16</sup>

(b) (6) [REDACTED] chief pharmacy specialist for polypharmacy at General Leonard Wood Army Medical Center (GLWACH), Fort Leonard Wood<sup>17</sup>

(b) (6) [REDACTED] DCCS at CRDAMC, Fort Hood<sup>18</sup>

(b) (6) [REDACTED] Chief of Psychological Health at CRDAMC, Fort Hood<sup>19</sup>

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<sup>10</sup> Exhibit H-1 Lopez-Lopez AHLTA note dated 24 January 14 and see Exhibit C-2012 MFR (b) (6) [REDACTED] Telephone Interview 3 JUN 14

<sup>11</sup> Exhibit H-1 Lopez-Lopez AHLTA note dated 2010 March 2014; Exhibit D-63 MFR (b) (6) [REDACTED] Email Summary 3 June 2014.

<sup>12</sup> Exhibit H-1 Lopez-Lopez AHLTA note dated 9 February 2014.

<sup>13</sup> Exhibit H-1 Lopez-Lopez AHLTA notes dated 3 March 2014, 21 March 2014, 21 March 2014, and 26 March 2014, and see Exhibit D-95 MFR (b) (6) [REDACTED] Telephone Interview 3 JUN 2014.

<sup>14</sup> Exhibit B-70 MFR (b) (6) [REDACTED] Email Summary 3 June 14.

<sup>15</sup> Exhibit B-19 MFR (b) (6) [REDACTED] Telephone Interview 3 June 2014.

<sup>16</sup> Exhibit C-3 MFR (b) (6) [REDACTED] Email Summary 3 June 2014.

<sup>17</sup> Exhibit C-14 MFR (b) (6) [REDACTED] Email Summary 3 June 2014.

<sup>18</sup> Exhibit D-34 DA Form 2823 (b) (6) [REDACTED] Statement 23 April 2014.

<sup>19</sup> See Exhibit D-36 DA Form 2823 (b) (6) [REDACTED] Statement 23 April 2014 and Exhibit D-37 MFR (b) (6) [REDACTED] Email Transcript 3 June 2014.

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- h. LCDR (b) (6) 13<sup>th</sup> ESC EBH social worker, Fort Hood<sup>20</sup>
- i. LTC (b) (6), Deputy Chief of Behavioral Health, OTSG<sup>21</sup>

5. The following medical information sources were used for this section of the report:

- a. Every medical note from 2003 on in the electronic medical record (EMR).<sup>22</sup>
- b. List of all medication prescriptions from the EMR.<sup>23</sup>
- c. List of all consultation requests and reports, including ED records, from the EMR.<sup>24</sup>
- d. Copies of all known profiles.<sup>25</sup>
- e. Copies of all PHA, PDHA, and PDHRA evaluations from MEDPROS.<sup>26</sup>
- f. Copies of all psychiatric screener scores from the BH Data Portal (BHDP).<sup>27</sup>
- g. Prescription drug label information and installation polypharm reports.<sup>28</sup>
- h. Memoranda for Record from medical personnel<sup>29</sup>

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<sup>20</sup> See Exhibit D-83 DA Form 2823 (b) (6) Statement 24 April 2014.

<sup>21</sup> Exhibit F-6 MFR (b) (6) Email Summary 6 JUN 14

<sup>22</sup> Exhibit H-1 Lopez-Lopez AHLTA.

<sup>23</sup> Exhibit H-14 Lopez-Lopez Prescriptions (Recent to Oldest).

<sup>24</sup> Exhibit H-1 Lopez-Lopez AHLTA.

<sup>25</sup> Exhibit H-15 Lopez-Lopez Profiles.

<sup>26</sup> Exhibit H-16 Lopez-Lopez PHA 11 September 2013; Exhibit H-3 Lopez-Lopez PDHA 3 January 2012; Exhibit H-4 Lopez-Lopez PDHRA 6 July 2012.

<sup>27</sup> Exhibit H-17 Lopez-Lopez BHDP Summary 4 April 2014.

(b) (6)

<sup>29</sup> Exhibit C-15 MFR (b) (6) Email Summary 6 June 2014; Exhibit B-60 MFR (b) (6) Email Summary 3 June 2014; Exhibit F-10 MFR (b) (6) Telephone Interview 9 June 2014; Exhibit D-63 MFR (b) (6) Email Summary 3 June 2014; Exhibit D-85 MFR (b) (6) Email Summary 3 June 2014; Exhibit B-19 MFR (b) (6) Telephone Interview 3 June 2014; Exhibit D-37 MFR (b) (6) Email Transcript 3 June 2014; Exhibit F-1 MFR LTC (b) (6) Telephone Interview 3 June 2014; Exhibit D-95 MFR (b) (6) Telephone Interview 3 June 2014; Exhibit C-3 MFR (b) (6) Email Summary 3 June 2014; Exhibit C-12 MFR (b) (6) Telephone Interview 3 June 2014;

## Appendix 5, TAB A — Medical Personnel and Records Consulted

### i. Exhibit MED 31. Autopsy Report<sup>30</sup>

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Exhibit C-14 MFR (b) (6) Email Summary 3 June 2014; Exhibit D-101 MFR (b) (6) Telephone Interview 3 June 2014; Exhibit B-70 (b) (6) Email Summary 3 June 2014; Exhibit D-35 MFR (b) (6) Email Summary 3 June 2014; Exhibit F-6 MFR (b) (6) Email 5 June 2014; Exhibit F-8 MFR (b) (6) Telephone Interview 4 June 2014.

<sup>30</sup> Exhibit H-6 ME14-020107 Lopez-Lopez OAFME Final Autopsy Report 23 April 2014.

1. The following is a list of casualties developed from a review of records available to the Investigating Team to include a brief from III Corps and the CID Report of Investigation.

2. The following list differs slightly from that found at page two of this TAB (2 APR 14 Casualty Report). This list more accurately identifies the source and number of injuries.

3. This list does not address the individuals seen by behavioral health since the incident.

4. Killed by gunfire.

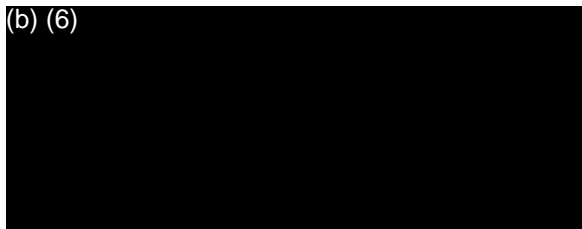
- a. SFC Daniel Ferguson
- b. SSG Carlos Lazaney-Rodriguez
- c. SGT Timothy Owens
- d. SPC Ivan Lopez-Lopez

5. Wounded by gunfire or fragments.



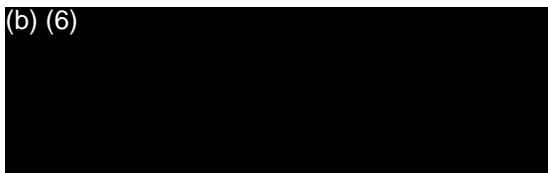
- GSW clavicular/scapular area
- GSW arm
- GSW back
- GSW abdomen
- GSW to right shoulder
- GSW to left arm
- GSW neck/back
- GSW back
- GSW abdomen
- GSW chest
- GSW chest
- Glass and suspected bullet fragments to left eye

6. Injured during shooting, but not from shooter.



- Injured finger (healing from previous surgery)
- Cut hand on glass escaping from battalion commander's office
- Lacerations on left arm from helping others go over a fence

7. Uninjured, though initially believed injured.



- Bullet went through the back of her ACU blouse but she was uninjured
- Initially thought injured, but was not



Phantom Corps



# 2 APR 14 Casualty Report

DECEASED							
NAME	Rank	M/F	UNIT	DOB/AGE	GO RESPONSIBILITY	STATUS/LOC	REMARKS
FERGUSON, DANIEL M	SFC	M	49 <sup>th</sup> TRANS/4 <sup>th</sup> SB	17-NOV-74 / 39	BG LEMASTERS	(HOR) LAKELAND, FL	FUNERAL - 12 1100 APR 2014
OWENS, TIMOTHY W	SGT	M	154 <sup>th</sup> TRANS/13 <sup>th</sup> ESC	21-SEP-76 / 37	MG PHIPPS	(HOR) DIXON, MO	FUNERAL - 12 1100 APR 2014
LAZANEYRODRIGUEZ, CARLOS A.	SSG	M	21 <sup>st</sup> CSH/1 <sup>st</sup> MED BDE	06-AUG-75 / 38	BG LEMASTERS	(HOR) TAMPA, FL	FUNERAL - 14 1100 APR 2014
LOPEZ, IVAN ANTONIO	SPC	M	154 <sup>th</sup> TRANS/13 <sup>th</sup> ESC	23-OCT-79 / 34	NONE	(HOR) GUAYANILLA, PR	FUNERAL - 12 1100 APR 2014

HOSPITALIZED					
NAME	RANK	M/F	UNIT	LOCATION	DOB/AGE
x (b) (6)	PV1	M	297 <sup>th</sup> TRANS	SAMMC, ICU/STABLE	(b) (6)
x (b) (6)	2LT	M	566 <sup>th</sup> MED/1 <sup>st</sup> MED BDE	SAMMC, ICU/STABLE	(b) (6)

RETURNED TO DUTY						
NAME	RANK	M/F	UNIT	DOB/AGE	DATE RELEASED	
x (b) (6)	SPC	F	HHD, 21 <sup>st</sup> CSH	(b) (6)	12-APR-14	
x (b) (6)	SFC	M	502 <sup>nd</sup> MED/1 <sup>st</sup> MED BDE	(b) (6)	07-APR-14	
x (b) (6)	SPC	M	154 <sup>th</sup> TRANS	(b) (6)	04-APR-14	
x (b) (6)	PV2	M	49 <sup>th</sup> TRANS/4 <sup>th</sup> SB	(b) (6)	04-APR-14	
x (b) (6)	SFC	M	III CORPS NCO ACD	(b) (6)	03-APR-14	
x (b) (6)	SSG	M	154 <sup>th</sup> TRANS	(b) (6)	03-APR-14	
x (b) (6)	SPC	M	HSP/ 21 <sup>st</sup> CSH	(b) (6)	03-APR-14	
x (b) (6)	SGT	M	HHD, 49 <sup>th</sup> TRANS/4 <sup>th</sup> SB	(b) (6)	03-APR-14	
x (b) (6)	SFC	M	HHC, 4 <sup>th</sup> SB	(b) (6)	03-APR-14	
x (b) (6)	SFC	F	154 <sup>th</sup> TRANS	(b) (6)	02-APR-14	
x (b) (6)	2LT	F	418 <sup>th</sup> TRANS/4 <sup>th</sup> SB	(b) (6)	02-APR-14	
x (b) (6)	PV2	M	154 <sup>th</sup> TRANS	(b) (6)	02-APR-14	
x (b) (6)	CPT	M	62 <sup>nd</sup> ENG	(b) (6)	02-APR-14	
x (b) (6)	MAJ	M	HHC, 1ST MED BDE	(b) (6)	14-APR-14	

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