
















Appendix 4

C. Fort Leonard Wood Statements

Exhibit Type	Exhibit Name	Exhibit File
C. Fort Leonard Wood Statements		
C-1	DA Form 2823 (b) (6) Statement 1 MAY 14	 (b) (6) Statement 1 MAY 14
C-2	MFR Mr. (b) (6) Telephone Interview 3 JUN 14	 MFR (b) (6) Interview 3 JUN 14
C-3	DA Form 2823 (b) (6) Statement 1 MAY 14	 (b) (6) Statement 1 MAY 14
C-4	DA Form 2823 Mr. (b) (6) Statement 1 MAY 14	 (b) (6) Statement 1 MAY 14
C-5	MFR Mr. (b) (6) Email Summary 3 JUN 14	 (b) (6) Email 3 JUN 14
C-6	DA Form 2823 Ms. (b) (6) 1 MAY 14	 (b) (6) Statement 1 MAY 14
C-7	DA Form 2823 Mr. (b) (6) Statement 1 MAY 14	 (b) (6) Statement 1 MAY 14
C-8	DA Form 2823 Ms. (b) (6) Statement 1 MAY 14	 (b) (6) Statement 1 MAY 14
C-9	DA Form 2823 (b) (6) Statement 1 MAY 14	 (b) (6) Statement 1 MAY 14
C-10	DA Form 2823 (b) (6) Statement 1 MAY 14	 (b) (6) Statement 1 MAY 14
C-11	DA Form 2823 (b) (6) Statement 1 MAY 14	 (b) (6) Statement 1 MAY 14

C-12	DA Form 2823 (b) (6) Statement 2 MAY 14	 (b) (6) Statement 2 MAY 14
C-13	DA Form 2823 (b) (6) Statement 1 MAY 14	 (b) (6) Statement 1 MAY 14
C-14	MFR Mr. (b) (6) Email Summary 3 JUN 14	 MFR (b) (6) Email 3 JUN 14
C-15	MFR (b) (6) Email Summary 6 JUN 14	 MFR (b) (6) Email Summary 6 JUN 14

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

PRINCIPAL PURPOSE: To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.

ROUTINE USES: Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.

DISCLOSURE: Disclosure of your SSN and other information is voluntary.

1. LOCATION Bldg 3200, room 3617, Fort Leonard Wood, MO, 65473	2. DATE (YYYYMMDD) 20140501	3. TIME 1100	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b) (6)	6. SSN (b) (6)	7. GRADE/STATUS CIV	
8. ORGANIZATION OR ADDRESS MEDAC (b) (6)			

... WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

My name is (b) (6) and I am employed as a civil servant, as a family nurse practitioner. I have been at Fort Leonard Wood since May 2001. I retired and have been in civil service since 2008. I work at the Victory Clinic and the Troop Medical Clinic. The Victory Clinic used to be part of the Troop Medical Clinic, and then it separated and was brought over to the hospital because the Victory Clinic primarily takes care of the more senior personnel in training, such as the senior enlisted and officer courses for the MOSes that we serve, along with the Marines, Air Force, and Navy. They were separated from the trainees in BCT and AIT. An addition was added to the Troop Medical Clinic, so the Victory Clinic was moved back to the CTMC. I didn't recall seeing or treating Specialist Ivan Lopez until I got a call after 1700 last night saying I was to be at a 15-6 investigation today at 1100. Then at around 1800 I received another call saying I needed to see the commander at 0800. Since then I have been pondering why I'm here. The first thing I did this morning was go into ALTA and start going through it. (b) (6) told me that SPC Lopez was here. I went through my notes starting last year in October, and was able to see a note from him in January. I was not able to see my notes. I have seen a lot of patients over a lot of years. I know I saw this guy and I don't have access to my notes and I am very distraught over it. I know that I haven't treated anybody poorly and I haven't done anything wrong, but I have to ask myself, "What could I have done?" It's part of the business I guess. I only saw him once. I don't remember him. According to what AHLTA showed, I saw him for (b) (6). From what I've told patients before, is if I remember them, then they had something pretty funky because I see thousands every year. I have been racking my brain since 0600 this morning and I have nothing. I couldn't access his note. I believe MOS-T is affectionately known as a re-tread. There's nothing special done at my level for re-classing soldiers as opposed to those in BCT or AIT. The Victory Clinic, especially for someone coming from the emergency rooms, kind of functions as an interim PCM. We provide acute care by the strict definition, but we do assume care of chronic issues when people are TDY here. This is a lot since the age group is not the healthy 18 to 20-something crowd. When I work the Victory Clinic I do see Master Sergeants and senior Captains who, at their age, have developed some problems that are not of an acute nature as opposed to the sports medicine CTMC clientele. I found out he was in his thirties this morning when I performed a Google search on the guy. I would have to look at my notes to see (b) (6) would be normal in his case. I am a fan of (b) (6) because we carry it and it's on the middle ground, but we are even tightening up on that now. As far as (b) (6), that is not something done regularly at the Victory Clinic. I am familiar with (b) (6) due to my family practice background, but things like that and (b) (6) are generally aren't around in the training environment. If somebody in training is coming in for medications like that, I would generally say they weren't screened well. In a case with a patient like this, I would definitely look back through his ALTA notes and my notes. For things like this, we would have to send them to the hospital. The pharmacy at the Troop Medical Clinic has no refills and their medications are pre-packaged. We don't have any SSRIs or any drugs like that, so if the patient did get (b) (6) from me, they would have to go to the hospital. A lot of my patients, especially the senior enlisted, are on medications that they have to go to the hospital to pick up. I don't think I would arrange for any follow up care at another duty station after training. Maybe they would with a specialist like an orthopedist, post-op hardware removal, or behavioral medicine for continuity of care.

10. EXHIBIT (b) (6)	MENT	PAGE 1 OF 3 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADLINE AND BE DATED _____

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF (b) (6)

FLW

DATED 1 May 2014

9. STATEMENT (Continued)

Now with the post-war, we have an imbedded Behavioral Medicine Division that can screen patients and take care of them instead of sending them to the hospital. The BMD has two people with us. As far as PCM to PCM, it's not a common practice to call a doctor at another location. The patient is just told to follow up with their PCM, because of the way ALTA is set up. The BMD with us sees everybody. It has been phenomenal. We think it's good for the Soldier and good for the community. I've been retired for eight years, but everywhere I've ever been there's been a check-in and check-out list. I even had to check-out of the bowling alley when I retired even though I'd never been there. As far as medical screening, that's done down at the 43d AG BN. It's part of their in-processing. There is what's called a medical moment of truth, where if they have any health concerns coming in that they may have been less than honest about, we can screen it and get them a waiver or begin out-processing if it isn't a non-issue. Things such as not mentioning asthma or hardware. I would just like to see my note, to know for myself that there was nothing I could have done to affect this. In my line of work, when patients come in, we describe the patients based on what they were treated for, we don't necessarily remember names. The only thing I can think is that if I gave him (b) (6), then he must have been on his way out of here. There's something with that small number that doesn't seem normal to me. He must have been on his way to Fort Hood or somewhere that he would see his PCM. He must have been completely unremarkable to me.

(b) (6)

(b) (6)

INITIALS

PAGE 2 OF 5 PAGES

DA FORM

APD LC v1.01ES

(b) (6)

(b) (6)

DATED 1 MAY 2014

STATEMENT

9. STATEMENT (Continued)

(b) (6)

(b) (6)

DAVID

WHICH I HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT
UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE
BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS
CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY
THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE

(b) (6)

WITNESSES:

Subscribed and sworn to before me, a person authorized by law to
administer oaths, this 2nd day of May 2014
at Fort Leonard Wood MO

(b) (6)

ORGANIZATION OR ADDRESS

(Typed Name of Person Administering Oath)

Art. 136(a)(1)

(Authority To Administer Oaths)

(b) (6)

STATEMENT

PAGE 3 OF 3 PAGES

APD LC v1.01ES

MEMORANDUM FOR RECORD

SUBJECT: Telephonic Interview with (b) (6), nurse practitioner at Victory Clinic, Fort Leonard Wood, Missouri, on 15 May 2014 from 1600 to 1620

1. (b) (6) was interviewed in person at Fort Leonard Wood for this 15-6, and was telephonically interviewed again by the same assistant investigating officer. He was sworn to tell the truth, the whole truth, and nothing but the truth. Prior to interview he was emailed a copy of SPC Lopez-Lopez's medical note from 24 Jan 2014, with the PHI redacted. The relevant history was SPC Lopez-Lopez had sought a refill of (b) (6) and (b) (6) at the Emergency Department (ED) on 19 Jan 14. The ED had given him (b) (6) but told him to go to the Victory clinic for (b) (6).
2. (b) (6) did not remember the patient during the in-person interview, but remembered him after reading his medical note. The note was sent after additional information about the encounter was gleaned from the interview of (b) (6).
3. (b) (6) recalled SPC Lopez-Lopez requested a refill (b) (6). He was initially polite and friendly. He had received some (b) (6) 5 days previously in the ED and declined more at this encounter. (b) (6) stated he initially declined to prescribe any medications to SPC Lopez-Lopez. SPC Lopez-Lopez became (b) (6) due to this. (b) (6) offered him other treatments, such as (b) (6) and explained that he was leaving for Fort Hood soon.
4. (b) (6) is a nurse who can prescribe medication, but works under the medical license of a supervising physician. He consulted his physician, who instructed him to give SPC Lopez-Lopez only (b) (6) since he would arrive at Fort Hood soon and could get more treatment there. (b) (6) reported that SPC Lopez-Lopez's mood improved greatly once he knew he would receive (b) (6). (b) (6) did not know why the ED also did not prescribe (b) (6). (b) (6) stated that SPC Lopez-Lopez (b) (6).
5. He also stated that it was local policy that NCOs dispensed medication to Basic trainees. AIT students had also fallen under this system a few years ago but it was controversial. (b) (6) thought it had been discontinued, although an NCO at AIT previously told the 15-6 team otherwise. The medical side did not like the policy, because NCOs are not medical professionals and sometimes patients did not receive their medications from NCOs. In any event, this policy had no effect on the decision to

SUBJECT: Telephonic Interview with (b) (6), nurse practitioner at Victory Clinic, Fort Leonard Wood, Missouri, on 15 May 2014 from 1600 to 1620

give SPC Lopez-Lopez (b) (6) that night, as it was not reported to any NCOs at AIT.

(b) (6)

LTC, MC
Program Director, Forensic Psychiatry
Fellowship, Center for Forensic Behavioral
Sciences

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

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DISCLOSURE: Disclosure of your SSN and other information is voluntary.

1. LOCATION Lincoln Hall, Ste 3617, FLW, MO 65473	2. DATE (YYYYMMDD) 20140501	3. TIME 0915	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b) (6)	6. SSN (b) (6)	7. GRADE/STATUS E-6/SSG	
8. ORGANIZATION OR ADDRESS B Company, 58th Transportation Battalion, 6694 Michigan Avenue, Building 1785, Fort Leonard Wood, Missouri 65473			

9. (b) (6), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

Questioning by (b) (6):
 Yes, B Company, 58th Transportation Battalion is the same unit that I met SPC Lopez at when he came through for training. I came to Fort Leonard Wood February 20th of 2013 and I didn't pick up my class until the last week of March and that's when I started working as an AIT platoon sergeant. I had been through about four classes when he arrived. The classes last for six weeks and three days. Yes, he was here a little longer because of the exodus break. To identify high risk Soldiers is basically when they first get there, to be honest it's not that extensive, we have them fill out a little questionnaire about risk factors. I'm not sure who generated the questionnaire because it was already in place when I got here. We just download the packet off the T drive. That's basically it unless we notice something throughout the cycle then we bring it to the commander or first sergeant's attention. We don't do the questionnaires individually we do them collectively the first day we in-process everybody. Maybe that's something we could do better. We don't want to put everybody's business out there so we go through them afterwards. On the back he wrote that he was getting (b) (6). No, we did not have a follow up session with him after reading that he had (b) (6). In that class we started out with one hundred and twelve, that's the maximum, and we had two re-classes in there. That's more than normal. The class we have now we have one re-class in each class and the class before that I had one and the majority of my classes I don't have any re-classes. I think I've only had a total of four re-classes since I've been here and I had two in that class. We keep them separated from the rest of the Soldiers. They stay in a different building. They just have to be to formation on time. They come to PT in the morning and we just give them counseling but we don't really check on them like we do the other Soldiers. The other Soldiers are considered trainees and when you are a re-class you get to smoke. They just can't smoke around other Soldiers and stuff. He had his POV when he was here.

Questioning by (b) (6):
 The other documents that I brought with me are just the initial stuff of when they get here and the rules that they have. There's not a whole lot. All his other counsellings are maintained at MTOC. That's for the training with the instructors. MTOC is Motor Operator Transport Course. SPC Lopez when he first got there he was always smiling. He would laugh and joke. I used to joke with him. He would lose stuff. A couple times he came to training without stuff he needed. He would have it but he wouldn't be able to find it at the time. He lost his wallet one day and then we were all looking for it cause they need their drivers license for training but when he found it, it was in his pocket. I know he did have financial issues because when it was time for HBL it's like a mad rush to get all the Soldiers out of here. We had to get like one hundred and twelve Soldiers ticketed. HBL is Holiday Block Leave. We start early because when they stop training we only have a couple days to get them all out of here. We have to get everybody ticketed and make sure their tickets not leaving too early. SPC Lopez, I know he didn't have any money at the time. I was going to take him to get an AER loan but we found out he had just received one for going to Puerto Rico. That's when I found out that his mom had died. He told me that his mom had just passed and he had just got an AER loan. He had requested and wanted to know if he could stay in the barracks. I brought it to the chain of command here and basically they were like try to discourage him from staying here. The other

10. EXHIBIT	11. INITIALS OF PERSON MAKING STATEMENT (b) (6)	PAGE 1 OF 3 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF _____ TAKEN AT _____ DATED _____"
 THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF (b) (6) TAKEN AT Lincoln Hall, Ste 3617 DATED 20140501

9. STATEMENT (Continued)

(b) (6) didn't have a POV, he flew here so he said he was going to pay for the gas cause first he was going to fly home (b) (6) was going to Fort Bliss. They both came from Fort Bliss but (b) (6) was still stationed at Fort Bliss. I think Lopez was going to Fort Hood. Yes, (b) (6) should have the leave form. It was controlled when he talked about his mom passing. They left on the twenty-first of December and I try to get a jump on them so it would be between the fourteenth and twenty-first. I can't remember the exact date. Everybody came back on the third of January. They drove home. The day of, when they were supposed to leave cause we were done with training and they told them they could leave a little early and (b) (6) came to me and said "hey, I don't know what Lopez has got going on cause Lopez says he's not going to Fort Hood that he's going to stay with a friend in St. Louis". The day before that he came to me and told me that when we told him he couldn't stay in the barracks that Lopez said he was going to sleep in his car and take showers at the gym and I called Lopez in and I talked to him about it and then that's when he told me he had a friend in St. Louis that he was going to stay with. I don't know of any negative feedback form any of the instructors. He graduated on time. The only time they would usually come to us is if they had an issue. When they came through they did a lot of the training in the barracks because we had a lot of snow during that time and when it's under like 20-30 degrees TRADOC won't allow them to go out to the pad. If there's ice on the road the trainees aren't allowed to drive. The training pads, they call them the pads. Each vehicle has it's own pad. When the weather was bad they would just come up to the barracks to do classes. They do LHS, it's the same thing as PLS but it's a HEMMT with a PLS attachment. I know his first sergeant from Fort Bliss called at the beginning of the cycle and Lopez came to me and said "Hey, my first sergeant said that I need to go update my MEDPROS" his PDHR and at the time it was GK (General Knowledge) and they can't miss any training. The first couple of days in the first week is general knowledge and they can't miss like any training or they will roll you to the next class. General knowledge is when they do all the testing so I told him right now isn't a good time and once we get a little down time I'll take you up there. I think like a week or so later his first sergeant called my first sergeant and Lopez told me the same thing like "My first sergeant just called me and cursed me out and said I need to get my PDHRA". My first sergeant called me in and asked if Lopez told me he needed to go update his MEDPROS and I told him yes, he did that I was just waiting for some down time and he told me that his first sergeant just called me and that I need to make it happen. I believe (b) (6) was the one that actually took him up there.

Questioning by (b) (6)

GK is only like the first four days and then after that they go to the pad. The first day on each pad is learning the basic controls. I will be honest when he told me about his first sergeant it did slip my mind until he reminded me. We took him to finance cause they jacked up his TDY paperwork too. He came here without a 1610. Finance said they would be able to give him his money back or get his TDY. Outside of GK each pad can only miss a certain amount of time. If they miss over eight hours per pad then they'll roll them and that's not hard to do. If they go to sick call it's a long wait and sometimes if they go to CTMC they'll wait in line all day.

Questioning by (b) (6):

When the Soldiers leave here after training what they can operate goes on their 348. They learn to operate four vehicles here. They aren't actually licensed because every unit that you go to you have to get re-licensed by that commander but it's on their 348 saying they are qualified to operate it. I've never been stationed at Fort Hood.

Questioning by (b) (6):

No, their is no elaborate out-processing when they leave here but the National Guard and Enlisted Reserve we have to take them to sign there DD 214 and they have to get released from active duty status and they give them a briefing. Regular Army if they are going overseas they will get an overseas briefing. If they are married they'll get a brief explaining how to get their family over. The regular active duty we just tell them the process and if they're married I'll pull up some websites and tell them the paperwork they need to get on the housing waiting list before they get there so they don't have to wait as long. They just come to us with a million questions a day and we just answer them. As far as leave if they are going overseas then our company policy is to let them take leave and if they're not then we'll send them straight to their unit by government travel.

Questioning by (b) (6):

The way the system is now I can't honestly say yes that it would catch if a Soldier showed up here supposed to be going TDY enroute but showed up here without PTDY and to make sure they had it as they entered into their final destination.

Questioning by (b) (6)

I don't remember who SPC Lopez spoke with at finance. It was just someone at the front desk. I took him and (b) (6) up there but I'm not sure if he would remember the person. (b) (6) was with SPC Lopez more than anyone because they lived together.

INITIALS OF PERSON MAKING STATEMENT
(b) (6)

PAGE 2 OF 3 PAGES

9. STATEMENT (Continued)

Questioning by (b) (6):

He went back to Fort Bliss and I have his phone number if anyone needs it. Yes, (b) (6) and his first name is (b) (6)

Questioning by Mr. (b) (6)

All the training schedules that show what training he would have done when he was here are on the T drive. He was MOS-T so he was allowed to come to the smoke area where the cadre was at. I would communicate with him but I just can't remember anything specific. Only thing I can remember him talking about with his prior unit in El Paso was that day he said my first sergeant called and said he's going to kill me if I don't get this PDA but he was laughing when he said it so he said it in a joking way. He was always smiling and laughing so he didn't seem disgruntled or anything about re-classing to 88M.

Questioning by (b) (6):

They have to complete and test off of each pad, they have a driving test and pass GK and of course pass the APFT with 70% in each event and once they do that the LTC signs off on their packet and they're good to go.

Questioning by (b) (6):

No, he wasn't taped while he was here. He had a strong accent. The first time I saw him just by looking at him I didn't know he was Hispanic until he started talking. He was able to communicate verbally in a clear fashion. Sometimes you would have to ask him to repeat himself but I'm from the south so the same is for me too sometimes. It's possible that he didn't always understand me the first time but for the most part I think we communicated well.

Questioning by (b) (6):

He had a profile while he was here. On the PT test he did the walk and he only did like the push-ups, that was the only event.

Questioning by (b) (6):

Yes, he had a profile that precluded him from doing sit-ups. We retain copies of their profiles until they leave. We get so many. Our training might keep a copy but me as a platoon sergeant, I don't have any. The company training NCO was (b) (6) but she's in ALC.

Questioning by (b) (6):

No, I don't recall him saying he had any (b) (6). No, not to my knowledge do I know of him falling asleep in class or anything. The instructors at the pad could probably tell you that better because they were there every day at the pad with them.

END OF STATEMENT

AFFIDAVIT

I, (b) (6), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b) (6)
(Signature of Person Making Statement)

WITNESSES:

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 2nd day of May, 2014 at Fort Leonard Wood, MO

(b) (6)

ORGANIZATION OR ADDRESS

(Typed Name of Person Administering Oath)

Art. 1310(a)(1)

(Authority To Administer Oaths)

ORGANIZATION OR ADDRESS

INITIALS OF PERSON MAKING STATEMENT

(b) (6)

PAGE 3 OF 3 PAGES

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).
PRINCIPAL PURPOSE: To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.
ROUTINE USES: Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.
DISCLOSURE: Disclosure of your SSN and other information is voluntary.

1. LOCATION LINCOLN HALL, STE 3617, FLW, MO 65473	2. DATE (YYYYMMDD) 20140501	3. TIME 0959	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b) (6)	6. SSN	7. GRADE/STATUS	
8. ORGANIZATION OR ADDRESS			

9. I, (b) (6), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

Sworn In by (b) (6)

Questioning by (b) (6):

I am the supervisor of the Warrior Sustainment Branch. On out processing of individuals, if they are state side they do not come to our office. Only overseas comes to do an out-processing brief with the clerk with the transition team. On state side the unit is the one who handles any brief with them. They let them know where they are going. The unit SPC Lopez was in was Co B, 58th Transportation Battalion – normally it's the operation sergeant. Yes, you will need to talk to the S-1 for DA 31s. We would annotate on their orders, with their report date, we can tell if they had hometown recruiting or leave in route by looking at their orders. They misinterpreted that. On the 10 days – when they're going overseas from the time they have their available date for their flight, they have 10 days to get overseas by transportation. The sponsorship program wasn't in effect at the time SPC Lopez graduated; he graduated 4 February 2014. For permanent party only, we ask them when they come to in process, they tell us if they are on permissive TDY and we tell them to go down to housing, which will stamp their leave form. Then after the 10 day permissive TDY is up, they come back to our office to in process. If they don't have the form when they come, then they have to go to their unit and ask for the 10 day permissive TDY. I don't know if SPC Lopez had any leave forms because he did not come through our office. The unit's operations sergeant handles the briefing for the Soldiers staying state-side. TDY en route, that is different. They don't go through 43rd. SPC Lopez had orders from Ft. Bliss directly to 58th Transportation Battalion. So he would have come in and we'd have made sure he went right down to the correct unit. And then 58th Trans would have done CIF, etc. The units would have asked them for a copy of their orders when they show up there. So it's either 1610 or PCS order sending them to them for that school. When they come here we have to input them in ATRRS showing they are here for the class that populates and updates the top of the system to EDAS. When the class starts on Monday, we normally get a fill roster from the unit. We go by that fill roster and we input them in that class. That keeps track of everybody that's in that class then we pull back and make sure everybody on that list is in ATRRS. Anybody that's regular Army, TDY en route, and all their stuff populates to the top of the system and it generates assignments for them in EDAS the clerks down in the transition branch goes back in and pulls it out of EDAS and puts it in our spreadsheet that we use. So they know that's where the Soldier is going to. When they come down to ATRRS is done their TDY and return component code is shown. It could be an ANM, which is a regular army non prior male. An AEB which is TDY en route or AER the component codes come out in ATRRS we populate that in our spreadsheet. If it's not correct in there, then yes, it won't be correct. No we can't change the codes, but we can send messages to ATRRS help desk for corrections.

END OF STATEMENT

10. EXHIBIT	11. (b) (6)	STATEMENT	PAGE 1 OF 3 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF (b) (6) DATED _____"
THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF (b) (6) TAKEN AT 0959 DATED 20140501

9. STATEMENT *(Continued)*

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(b) (6)

INITIALS OF PERSON MAKING ST

PAGE 2 OF 3 PAGES

9. STATEMENT (Continued)

[The main body of the statement is crossed out with a large diagonal line.]

AFFIDAVIT

I, (b) (6), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b) (6)
(Signature of Person Making Statement)

WITNESSES:

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 2nd day of May, 2014 at Fort Leonard Wood MO

(b) (6)

(Typed Name of Person Administering Oath)
Art. 136(a)(1)
(Authority To Administer Oaths)

(b) (6)

STATEMENT

3 June 2014

MEMORANDUM FOR RECORD

SUBJECT: Summary of Emails with (b) (6) Chief of Psychological Health, General Leonard Wood Army Community Hospital (GLWACH), Fort Leonard Wood, Missouri, on 15 May 2014

1. As part of the 15-6 investigation into the 2 April Fort Hood shooting, I emailed (b) (6) concerning the various Behavioral Health (BH) policies at Fort Leonard Wood on 15 May 2014. (b) (6) is the Chief of Psychological Health at Fort Leonard Wood, Missouri. (b) (6) was sworn to tell the truth, the whole truth, and nothing but the truth.
2. The BH facility at GLWACH has a standard in and out-processing policy for PCSing Soldiers. However, AIT students do not fall under this policy. AIT students in-process through the central troop medical clinic. If a BH need is assessed at the troop clinic, then the Soldier is referred to the on-site Embedded Behavioral Health (EBH) provider. An EBH exists to service AIT Soldiers. One benefit of this EBH is that its location allows for minimal time lost from class for appointments. EBH providers may work with AIT commanders to modify the class schedule for specific needs, on a case-by-case basis.
3. Regarding polypharmacy, (b) (6) knew that GLWACH employed a pharmacist whose full-time job was to find and interview polypharmacy-positive patients per OTSG Policy 13-032. The information was often transferred to the providers of those patients.

(b) (6)

LTC, MC
Program Director, Forensic Psychiatry
Fellowship, Center for Forensic Behavioral
Sciences

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).
PRINCIPAL PURPOSE: To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.
ROUTINE USES: Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.
DISCLOSURE: Disclosure of your SSN and other information is voluntary.

1. LOCATION LINCOLN HALL, STE 3617, FLW, MO 65473	2. DATE (YYYYMMDD) 20140501	3. TIME 0959	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b) (6)	6. SSN	7. GRADE/STATUS	
8. ORGANIZATION OR ADDRESS			

9. I, (b) (6), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

Sworn In by (b) (6)
Questioning by (b) (6)

Branch chief of the warrior sustainment branch. I'd like to add a copy of the SPC Lopez's PCS order that our transition branch published and it does mention the dependents travel at dependent rate with an asterisk by those areas. Now, there is a sponsorship program but there is not annotation of a sponsor on the order. Then, sir, at the present time we do have a sponsorship program. On the Soldiers that come in we do not in-process them in at the MPD for training; they do that at the unit. We do in process our permanent party Soldiers. We do permanent party in processing but the in-processing for trainees is done at the unit level. No, we wouldn't have records of how many AIT Soldiers PCSd out of here with permissive TDY because the units handle it. The PCS orders are published at the MPD; they're published by the transition branch. We do give Levy Briefings to the Soldiers who are PCSing overseas, but the units are giving it to the ones staying state side. The orders they publish out of the MPD, out of the transition branch, do have whether they have dependents or not, which gives us a clue if they have a family. The losing unit should be doing the permissive TDY, if I remember correctly. And we don't have a copy of SPC Lopez's orders from Ft. Bliss that I can see. The only thing that bothers me about this, it looks like Ft. Bliss had orders on him of some type, TDY en route at FLW en route to Ft. Hood. So the losing unit is Ft. Bliss, so what's bothering me is why didn't we just go by the orders that sent him TDY en route instead of making a new order? Did we ever see that order, I wonder? That order should have followed him to wherever he was going. It sounds like the orders that brought him here would have carried him all the way to Ft. Hood. I would think that 58th Transportation Branch, if they're the ones taking the guys orders when he comes in, would need to communicate with the Transition Branch and say this one's TDY en route, But when I use to be involved in orders, when it said TDY en route that meant the whole route. And at that point, the leave should have been done.

END OF STATEMENT

10. EXHIBIT	(b) (6)	STATEMENT	PAGE 1 OF 3 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF _____ TAKEN AT _____ DATED _____"
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STATEMENT OF (b) (6) TAKEN AT 0959 DATED 20140501

9. STATEMENT (Continued)

INITIALS OF PERSON MAKING STATEMENT

(b) (6)

PAGE 2 OF 3 PAGES

9. STATEMENT (Continued)

[Redacted Statement Content]

AFFIDAVIT

I, (b) (6), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b) (6)

WITNESSES:

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 2nd day of May, 2014 at Fort Leonard Hotel MD

(b) (6)

ORGANIZATION OR ADDRESS

ORGANIZATION OR ADDRESS

Art. 136(a)(1) (Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT (b) (6)

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

PRINCIPAL PURPOSE: To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.

ROUTINE USES: Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.

DISCLOSURE: Disclosure of your SSN and other information is voluntary.

1. LOCATION LINCOLN HALL, STE 3617, FLW, MO 65473	2. DATE (YYYYMMDD) 20140501	3. TIME 1010	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b) (6)	6. SSN	7. GRADE/STATUS	
8. ORGANIZATION OR ADDRESS			

9. I, (b) (6), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

Sworn In by (b) (6)

Questioning by (b) (6):

I am the Director of Human Resources at Ft. Leonard Wood. I do not recall a TRADOC policy of not providing permissive TDY for students in route to CONUS locations. I think they were focusing on – you know as Soldiers retire, that permissive TDY. That policy was signed by the last TRADOC commander. As you know, right now we are undergoing the sponsorship program. We are trying to get them married up through that sponsorship process so they have a sponsor that can help them do all that stuff. And what the other big thing is here at FLW when you look across the Army we have permanent party reception activities. At one point in time there was an initiative throughout the army to implement or install these permanent party reception activity so that graduating students or permanent party that are inbound to the installation will be able to take care of all the administrative stuff prior to the Soldier going into their respective unit. Keep them up at the installation for 5-7 days, get them all in processed, do permissive TDY. I think we need to re-look the entire process to make sure that we get a permanent party reception activity back on track. 43rd AG Bn falls under the Maneuver Support Center of Excellence. It's a TRADOC AG reception battalion. As you know, for the student and trainees on a training base or TRADOC installation, that POI is of great importance because during the cycle of them being here, such as time to schedule, really the S1 cannot get it at them to do the necessary stuff that we do on a daily basis. So that's probably what we need to take a look at, lengthen the training for one week to incorporate human resources. I guess and afford them the opportunity to get their administrative stuff taken care of – because by the time they are through with training it's like one week for them to start all the administrative stuff they need to do to get out of here. It's a time defect.

END OF STATEMENT

(b) (6)

10. EXHIBIT	11. <u>(b) (6)</u> PERSON MAKING STATEMENT	PAGE 1 OF <u>3</u> PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF _____ TAKEN AT _____ DATED _____"
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STATEMENT OF **(b) (6)** TAKEN AT 1010 DATED 20140501

9. STATEMENT *(Continued)*

(b) (6)

(b) (6) MAKING STATEMENT

PAGE 2 OF 3 PAGES

9. STATEMENT (Continued)

(b) (6)

AFFIDAVIT

I, (b) (6), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL IN (b) (6)

WITNESSES:

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 2nd day of May, 2014

(b) (6)

ORGANIZATION OR ADDRESS

ORGANIZATION OR ADDRESS

(Typed Name of Person Administering Oath)
Art. 136(a)(1)
(Authority To Administer Oaths)

I, (b) (6) SIGNED STATEMENT

PAGE 3 OF 3 PAGES

SWORN STATEMENT

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PRIVACY ACT STATEMENT

AUTHORITY: Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).
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DISCLOSURE: Disclosure of your SSN and other information is voluntary.

1. LOCATION LINCOLN HALL, STE 3617, FLW, MO 65473	2. DATE (YYYYMMDD) 20140501	3. TIME 1025	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME	6. SSN	7. GRADE/STATUS	
8. ORGANIZATION OR ADDRESS			

9. I, **(b) (6)**, WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

Sworn In by **(b) (6)**

Questioning by **(b) (6)**

I am a Supervisor for the Warrior Transition Branch. Warrior Transition Branch consists of permanent party reassignments, Captain Career course reassignments, student/trainee reassignments, transition for discharges, Med boards, retirements, and ETSS. When a Soldier comes through as a trainee, he is processed through the 43rd replacement. He doesn't come to Building 470. Once they in-process there, they are sent to their respective units for school. When they finish their school, they come down on assignment. If it's overseas we bring them in to do a Junior Enlisted Travel Brief. If they aren't going overseas, we do not give them a levy briefing. If we don't know they're TDY in route, we are going to cut an order.

Questioning by **(b) (6)**:

Their orders are cut and sent down to the units and the units take it from there. They get their permissive TDY at the units. The S1's are briefing them on their options. I don't know what information the students are given.

Questioning by **(b) (6)**:

No, if an order is issued by another installation we can not amend that order. We would have to go back to the order issuing installation to get the order amended.

10. EXHIBIT	(b) (6)	S OF PERSON MAKING STATEMENT	PAGE 1 OF <u>3</u> PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF _____ TAKEN AT _____ DATED _____"

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STATEMENT OF (b) (6) TAKEN AT 1025 DATED 20140501

9. STATEMENT (Continued)

(b) (6)

(b) (6)

PERSON MAKING STATEMENT

PAGE OF PAGES

9. STATEMENT (Continued)

(b) (6)

AFFIDAVIT

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WITNESSES:

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 2nd day of May 2014 at Fort Leonard Wood, Missouri

ORGANIZATION OR ADDRESS

(b) (6)

(Typed Name of Person Administering Oath)
Art. Ble(a)(1)
(Authority To Administer Oaths)

ORGANIZATION OR ADDRESS

(b) (6) PERSON MAKING STATEMENT

SWORN STATEMENT

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PRIVACY ACT STATEMENT

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1. LOCATION LINCOLN HALL, STE 3617, FLW, MO 65473	2. DATE (YYYYMMDD) 20140501	3. TIME	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b) (6)		6. SSN	7. GRADE/STATUS 05
8. ORGANIZATION OR ADDRESS 58TH TRANSPORTATION BATTALION			

9. I, (b) (6), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

I am Lieutenant (b) (6) and I have been the commander of the 58th Transportation Battalion since the 12th of June 2013. I have three AIT line companies, and an HHC. We have seven classes ongoing at all times with a count of over 700 Trainees with about 235 permanent party cadre (military and civilian). We only train motor transport operators (88M). I do not recall any personal contact with Specialist Lopez. I probably saw him around, but I do not specifically recall him. If there is an issue that is indicated on the risk reduction worksheet that is completed by new Soldiers I expect it to be brought to me. In this case, I didn't have anything brought to me. I see by looking at Specialist Lopez's worksheet that he indicated that he had (b) (6), and that is something that I would have wanted that to be brought to me. In this case, I'm not sure that that this worksheet was even given to the Company Commander. Had that happened, I trust that (b) (6) would have brought it to my attention. I trust the judgment of the leaders of new Trainees, so I feel that if they would have themselves felt that it needed to be addressed, it would have been brought to me.

All permanent party receive an in-brief from the Battalion Sergeant Major and myself, and we go over what our expectations are. The company commanders use the Senior Leader Risk Reduction Tool (SLRRT) to assess permanent party (military only). Trainees are not usually assessed under the SLRRT. However, if there is an issue with a Trainee, I expect the company commander to bring this to my attention. Once a month, they brief me on moderate and high-risk Service Members. Quarterly, I brief the Brigade Commander on our battalion assessments. There was never an issue or negative report brought to my attention regarding Specialist Lopez.

I have a permissive TDY policy with regards to permanent party because, typically, only permanent party request permissive TDY, especially when they first PCS here. The policy is that I have to sign off on it. If I would have gotten a request, I would have considered it. However, based upon the S-I research and to the best of my knowledge, I never received a request for permissive TDY from Specialist Lopez or from any MOS-T.

10. EXHIBIT	11. INITIALS OF PERSON MAKING STATEMENT (b) (6)	PAGE 1 OF <u>3</u> PAGES
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STATEMENT OF (b) (6) TAKEN AT FLW DATED 20140501

9. STATEMENT (Continued)

I do not recall any personal or financial issue among the MOS-T Soldiers ever being brought to my attention. Of all of the permanent party cadre (military only), I would say that at any given time there are six to eight cadre who have been identified as moderate or high-risk and elevated to my level of awareness. Most of them are from HHC because that company houses all of my instructors. I do not receive regular feedback or notice concerning inbound Trainees. On one occasion, the Brigade Commander provided me relevant background information on a couple of my incoming NCOs. Typically, I usually receive and review only the ERB/ORB of incoming Soldiers. However, if they have been identified as a moderate or high-risk Soldier from another unit, I would like to know. Occasionally, I do see Unit Commander's Finance Reports (UCFR). I've not heard anything about the new Commander's Risk Assessment Dashboard.

The purpose of the training that Soldiers receive here is only for familiarization on certain designated vehicle platforms. Upon arrival to their first unit assignment, they will receive additional training and their actual driver's license for the specific vehicle they are going to operate. I'm not sure if it is a common policy to have an NCO in the cab of a vehicle at all times in my previous assignments.

As far as getting information to help leaders address issues with incoming Soldiers, the problem I see is getting around the privacy concerns. I believe that as much information as can be of help should be passed along. Soldiers are often concerned with the stigma involved in having issues. They are often worried that their issue will follow them and affect their career. In my time, there was the "suck it up and drive on mentality." From the time of initially addressing (b) (6) forward, I have seen reports and research where Soldiers asked for help, were treated differently, and negative things resulted. Again, I am all for passing as much detailed information without compromising the Soldier's privacy and taking away the "clean slate" opportunity the Soldier. Maybe if it is done simply between losing and gaining company commanders so that the important information is passed up through the receiving Chain of Command as appropriate and without compromising the privacy the Soldier feels he or she needs.

As previously mentioned, I have received at least one report from the Brigade Commander on prior information that has proved useful. I do like the idea of counseling packets being transferred, but there is still the "clean slate" issue. That is kind of part of the reasoning behind my idea that a phone call between commanders, at the company level, may be a good idea. In that way, at least there is awareness. Typically, the issues with high-risk Soldiers are the standard: finance, marital, alcohol dependency. Financial issues usually appears with other factors such as separation, divorce, or excessive loans. Of the Soldiers currently on the SLRRT, they are facing a variety of issues including UCMJ violations (may result in separation and/or chapter), the MEB, marital, and financial. When we assess a Soldier to the SLRRT, we consider a variety of factors, not just legal issues. The issues are usually mitigated in some way, outside of drugs and misconduct, of course.

END OF STATEMENT

(b) (6)

INITIALS OF PERSON MAKING STATEMENT

(b) (6)

PAGE 2 OF 3 PAGES

STATEMENT OF (b) (6) TAKEN AT FLW DATED 20140501

9. STATEMENT (Continued)

(b) (6)

AFFIDAVIT

I, (b) (6), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b) (6)

WITNESSES:

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 5th day of May 2014 at Ft. Leonard National MO

(b) (6)

ORGANIZATION OR ADDRESS

(Typed Name of Person Administering Oath)
AA, 136(a)(2)
(Authority To Administer Oaths)

ORGANIZATION OR ADDRESS

INITIALS OF PERSON MAKING STATEMENT (b) (6)

PAGE 3 OF 3 PAGES

SWORN STATEMENT

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PRIVACY ACT STATEMENT

AUTHORITY: Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

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DISCLOSURE: Disclosure of your SSN and other information is voluntary.

1. LOCATION LINCOLN HALL, STE 3617, FLW, MO 65473	2. DATE (YYYYMMDD) 20140501	3. TIME 1013	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b) (6)	6. SSN (b) (6)	7. GRADE/STATUS E6/SSG	
8. ORGANIZATION OR ADDRESS CO B, 58TH TRANS BN, 6694 MICHIGAN AVE, BLDG 1785, FLW, MO 65473			

9. I, (b) (6), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

Sworn In by: (b) (6)

Questioning by (b) (6)

I am currently an AIT platoon sergeant. I've been an AIT platoon sergeant for about 2 years; I arrived in June 2012. Yes, I've had about 7 or 8 classes before SPC Lopez came through. In the preparation certification course to be an AIT platoon sergeant, which is a 2 week course, they tell you to identify a high risk Soldier is to monitor their behavior pattern. I was SPC Lopez's platoon sergeant for the duration of AIT. Yes, we do GK and the PAD training. No, he didn't have any training issues. Yes, SPC Lopez had a walking profile for the APFT; he couldn't take the running portion of the test, but he could do the push ups and sit ups. We have 112 students per class and it's very seldom we get MOS-T Soldiers. Sir, we have quite a few MOS-T Soldiers that come through, so I think the procedure and systems designed for in-processing and out-processing handle it pretty well. If a Soldier came here from Ft. Irwin and the permissive TDY wasn't in their orders, we probably wouldn't catch it and just put the Soldier straight into the system and start training. The gaining unit would probably pick it up if he did have permissive TDY. Yes, we talked to SPC Lopez about the medication he put down on his questionnaire and asked what it was for. He told us he had been (b) (6). We asked him if he needed to talk to anybody while he was here and he stated that he did not need to talk to anyone. No, I don't recall him ever asking to go to sick call.

Questioning by (b) (6):

When SPC Lopez first got here, he was having financial issues. He said that he had gone on emergency leave because his mother had passed away in Puerto Rico. He had taken out an AER loan and was having issues with that.

Questioning by (b) (6)

During HBL, he didn't want to take leave; he wanted to stay at the barracks but we couldn't allow that because no one was going to be there. He had to go on leave and he was saying he didn't have any money. Then, he came back to us and said he was going to stay with some friends or family down in Texas. No, he didn't tell us where his wife or child were while he was here in training. SPC Lopez closely associated with another MOS-T Soldier here, (b) (6). Yes, I use to talk to him quite a bit; I asked him about his other MOS. He said it was Infantry and he didn't like it very much. No, he didn't mention his prior unit at Ft. Bliss. No, he didn't seem optimistic about going to Ft. Hood. No, the MOS-Ts did not take any leadership over the other Soldiers. We tried to keep them separate from the other students because they are like prior service.

10. EXHIBIT	11. INITIALS (b) (6) SON MAKING STATEMENT	PAGE 1 OF 3 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF _____ TAKEN AT _____ DATED _____"

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STATEMENT OF (b) (6) TAKEN AT 1013 DATED 20140501

9. STATEMENT (Continued)

Questioning by (b) (6):

SPC Lopez's comprehension was good; he comprehended everything pretty well. No, I didn't ask him to repeat things because of his accent. His English was very good.

Questioning by (b) (6):

Yes, we brought up SPC Lopez's PTSD to the 1SG, but there was nothing we could do. Nothing threw up a red flag. I had asked him if he needed to talk to anyone and he said he was good, so we continued on with training. Yes, I took him to finance to get him squared away; I think he was having some issues with his leave days. Yes, finance squared him away and he was happy with it. Yes, I was aware he was currently married. No, he didn't mention if he was supporting other family members.

Questioning by (b) (6):

No, I don't recall him having any back problems or complaints. There is an initial APFT and then one in the middle of the cycle, which is the 5th week. Yes, that would have been about January. Actually, sir, I don't think they had a test; I think we had to diagnose from their first test because of all the weather.

END OF STATEMENT

INITIALS OF PERSON MAKING STATEMENT (b) (6)

PAGE 2 OF 3 PAGES

STATEMENT OF (b) (6)

TAKEN AT 1013

DATED 20140501

9. STATEMENT (Continued)

(b) (6)

(b) (6)

(b) (6)

(b) (6)

AFFIDAVIT

(b) (6), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b) (6)

WITNESSES:

 ORGANIZATION OR ADDRESS

 ORGANIZATION OR ADDRESS

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 1st day of May, 2014 at Fort Leonard Wood, Missouri

(b) (6)

(Typed Name of Person Administering Oath)
 Art. Bole(a)(1)
 (Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT (b) (6)

PAGE 3 OF 3 PAGES

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

PRINCIPAL PURPOSE: To document potential criminal activity involving the U.S. Army, and to allow Army officials to maintain discipline, law and order through investigation of complaints and incidents.

ROUTINE USES: Information provided may be further disclosed to federal, state, local, and foreign government law enforcement agencies, prosecutors, courts, child protective services, victims, witnesses, the Department of Veterans Affairs, and the Office of Personnel Management. Information provided may be used for determinations regarding judicial or non-judicial punishment, other administrative disciplinary actions, security clearances, recruitment, retention, placement, and other personnel actions.

DISCLOSURE: Disclosure of your SSN and other information is voluntary.

1. LOCATION FORT LEONARD WOOD, MO	2. DATE (YYYYMMDD) 20140501	3. TIME 0920	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b) (6)	6. SSN (b) (6)	7. GRADE/STATUS SSG/E6/RA	
8. ORGANIZATION OR ADDRESS HHC 58TH TRANSPORATION BATTALION, FT Leonard Wood, MISSOURI 65473-8929			

9. I, (b) (6), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

Trainee's usually only get leave in route to PCS if they are going overseas. anyone staying /traveling state side only get one travel day of leave and do not get Permissive TDY approved on our end. I don't know why they don't get Permissive TDY, possibly something TRADOC does. What I mean is that TRADOC does not give Permissive TDY for CONUS, but for OCONUS Ive seen permissive TDY counted as 10 days travel. Platoon sergeants state that they don't get PTDY and the request doesn't even go up. I don't know if this is a policy because I've only seen a leave policy for permanent party soldiers.

There were some miscellaneous documents produced, and everything that I have within SPC Lopez's packet he signed at Fort Bliss, and his packet, which is not one he provided, but was retrieved from Bldg 470, is missing a PCS leave form to Fort Hood. I also noticed that he signed as Lopez-Lopez and indicated his marital status as being single even though he is married.

Because of his financial issues, SPC Lopez did not want to take holiday block leave, but since he would not be allowed to stay in barracks he was forced to take leave, and he took 10 days of leave to go to El Paso, Texas.

I don't know whether or not he was referred to as Lopez-Lopez while here; I never met or knew him as well as, whether or not he had any business such as appointments here. I understand that conus permanent party personnel are authorized permissive TDY for house-hunting, but I have never seen a PTDY request come from a trainee (not up to BN level, at least). They are not told from BN level that they are "not authorized" to request it; we just see request of that nature from trainees or MOS-Ts.

END OF STATEMENT

(b) (6)

10. EXHIBIT	11. INITIALS OF PERSON MAKING STATEMENT (b) (6)	PAGE 1 OF <u>3</u> PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF _____ TAKEN AT _____ DATED _____"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

USE THIS PAGE IF NEEDED. IF THIS PAGE IS NOT NEEDED, PLEASE PROCEED TO FINAL PAGE OF THIS FORM.

STATEMENT OF (b) (6) TAKEN AT FT Leonard Wood DATED 20140501

9. STATEMENT (Continued)

(b) (6)

INITIALS OF PERSON MAKING STATEMENT

(b) (6)

PAGE 2 OF 3 PAGES

STATEMENT OF (b) (6)

TAKEN AT FT Leonard Wood

DATED

20140501

9. STATEMENT (Continued)

(b) (6)

AFFIDAVIT

I, (b) (6), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b) (6)
(Signature of Person Making Statement)

WITNESSES:

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 2nd day of May, 2014 at Fort Leonard Wood, MO

(b) (6)

Art. 136(A)(9)
(Authority To Administer Oaths)

ORGANIZATION OR ADDRESS

ORGANIZATION OR ADDRESS

INITIALS OF PERSON MAKING STATEMENT

PAGE 3 OF 3 PAGES

SWORN STATEMENT

For use of this form, see AR 190-45; the proponent agency is PMG.

PRIVACY ACT STATEMENT

AUTHORITY: Title 10, USC Section 301; Title 5, USC Section 2951; E.O. 9397 Social Security Number (SSN).

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DISCLOSURE: Disclosure of your SSN and other information is voluntary.

1. LOCATION LINCOLN HALL, STE 3617, FLW, MO 65473	2. DATE (YYYYMMDD) 20140502	3. TIME	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b) (6)	6. SSN	7. GRADE/STATUS O-3	
8. ORGANIZATION OR ADDRESS HHC, 58TH TRANSPORTATION BATTALION			

9. I, (b) (6), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

I have been the commander of Bravo Company, 58th Transportation since April of last year and this is my third time here. I would see SPC Lopez at PT and we would say, 'Good morning', 'How you doing?', and 'How is everything going?' but he never gave me any indication that he had issues. The only real conversation we had occurred during our holiday block leave. He indicated that he was having financial trouble, but that didn't raise a red flag with us because we have up to sometimes 300 privates and about half of them say, "I can't afford my ticket". He had a battle buddy named (b) (6) whom he borrowed money from and from what I understand they left together in what I believe was (b) (6) POV. They were MOS-T soldiers considered NCOs so they were allowed to leave FLW by POV. They said they would be going to El Paso, Texas, where I believe he had a wife and daughter. He wasn't specific to me in regards to his financial issue. I was the chemical operations officer at the 20th CBRN command at Aberdeen, Maryland. I have had countless cycles of AIT Soldiers come through in my time as Company Commander. Only about one maybe two percent at the most of my soldiers are MOS-T. We haven't had very many of them since I have been here. The vast majority of my Soldiers are just coming out of IET and don't leave here with permissive TDY approved for house hunting. Active duty Soldiers go straight to their duty station unless they are going overseas, that's when you are given leave. I am the approving authority for any leave the MOS-T request en route to their gaining duty station but most MOS-T's when they leave here, they go straight to their duty stations. It is rare for them to request leave. If they are coming from another installation for a PCS move they request TDY leave from their losing unit. Most of my MOS-T's are TDY en route.

If SPC Lopez wanted permissive TDY here, he should have just asked his platoon sergeant and brought it up the chain of command. Through my due diligence I would have approved it or disapproved it based on our policies or guidance. To my knowledge he never requested it. For the time he was with us, he was a model soldier, he gave us no trouble; he had no negative counseling's and no UCMJ actions. My expectation for the risk factor in-processing sheets is that they are filled out when they are initially in-processing. So if there are any red flags raised then the platoon sergeants bring it to us. Then depending on what the issue is, if it's something mental or emotional then we seek the Chaplin, or the family life consultant and if necessary behavioral health. As the command team we are responsible for up to 300 privates at one time. We have to make sure that everyone is in a safe environment. I expect the platoon sergeant to notify me or the ISG if the Soldier brings up an issue to them, acts out of context or anything that is brought to their attention. All my platoon sergeants are master resiliency qualified that is a part of their training. As the chain of command we need to know who we have in our company and what their issues are. SPC Lopez's risk sheet should have been brought to my attention, he circled yes on the question of if he has seen a psychiatrist and been placed on medication. Soldiers in AIT are not allowed to keep their own drugs; they aren't allowed to administer their own drugs. None of this was brought to my attention.

10. EXHIBIT	11. INITIALS (b) (6)	STATEMENT	PAGE 1 OF 3 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF _____ TAKEN AT _____ DATED _____"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

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STATEMENT OF (b) (6) TAKEN AT _____ DATED 20140502

9. STATEMENT (Continued)

One tool that I have seen used to access soldier risk that has been helpful is the SLRRT. As a gaining commander I would like to know if my Soldiers have had previous mental issue, so that I am not blindsided when and if something happens. So if there was a way to ping it in the system so that when that Soldier in-processed then the battalion is notified the brigade is notified and the company is also notified that this Soldier has a potential issue. I would want to know if my soldiers have been to ASAP self referred or not, if the Soldier has been referred to marital counseling; anything that could have a negative effect on the Soldier. We don't know about financial issues until either the soldier brings it up to us or we get a letter in the mail notifying us that our Soldier owes X agency X amount of dollars. We don't have supervisors review LES'es unless the Soldier is having a pay issue. What I do for B Company is that we have resiliency classes that deal with finance, budgeting, and TSP. I go through ACS here and have them come through usually quarterly, to give that class to my permanent party soldiers. I get the UCFR which is helpful is assessing whether my Soldiers have excess allotments or owe money to the government. I received information on that level for every incoming soldier it could be a mountain that is just too much to sort through. That is more useful with a smaller command. Once you start getting into larger organizations you also have the privacy issues that would come up. Now you have to trust that NCO or this junior officer with everyone's financial information within my company. Some people would be uncomfortable with that. So that to me, is the biggest issue. There has to be a mechanism in place to identify a potential risk and sometimes I believe you have to sacrifice some level of privacy for the greater good of the entire unit. I understand that every Soldier has a right to privacy of their personal information, but if within your personal information is a red flag that identifies you as a potential threat, then that needs to be brought to the attention of the chain of command. In regards to whether Leaders should have access to their Soldier's social media sites, I have to ask where do we draw the line. Where do we draw the line between personal and professional? Because we wear this uniform there is an expectation of a certain level of responsibility. We are required to carry ourselves a certain way on or off duty even on social media.

Look at Donald Sterling owner of the Clippers in a private conversation with another person in which he had an expected level of privacy, but now he is front page news on every news channel in the country. There are some things that you cannot as a uniformed Soldier put on instagram or things like that. You can't really expect a great level of privacy on social media because it is social media. I believe that requiring leader to just look at their Soldiers Facebook posts couldn't hurt. Sometimes that is the only way to get a clue to how they really feel. Without requiring usernames or passwords, if I see you sound suicidal or homicidal then yes, that should be brought to the command. In regards to whether we should receive soldiers counseling packets from the losing unit to the gaining unit when they come in, I believe that you are starting over with a clean slate. You come in with a clean slate, but if in that counseling packet, you have been to behavioral health or you have had homicidal thoughts then yes, that should come with you. Only if there has been an actual identified risk, then yes, I would take it. The one thing I don't want to see come out of this is for the army to overdo it. We don't want soldiers being afraid to talk. They get scared to come out and say I have a problem. We have to balance out between soldiers saying I need help versus if I say I need help what are the consequences are going to be. At the end of the day we are still talking about people. The quickest way to shut someone down is to threaten their livelihood. If they think by saying something it will be received as a threat then they aren't going to ask for help. There is a stigma attached to asking for mental health help. Any sign of weakness ends up looked down upon. Sometimes incorrectly seeking mental health is seen as a weakness. Within my own cadre I have had Soldiers come up to say I need help. That's the balance we have to strike. True leadership is determined when things get hot. The one way to gain or lose your Soldiers trust is when they come to you with an issue.

END OF STATEMENT

(b) (6)

INITIALS OF PERSON (b) (6)

PAGE 2 OF 3 PAGES

STATEMENT OF (b) (6)

TAKEN AT

DATED

20140502

9. STATEMENT (Continued)

(b) (6)

AFFIDAVIT

I, (b) (6), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE (b) (6)

(Signature of Person Making Statement)

WITNESSES:

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 2nd day of May, 2014

at Fort Leonard Wood MO

(b) (6)

ORGANIZATION OR ADDRESS

ORGANIZATION OR ADDRESS

AA-136(a)(9)
(Authority To Administer Oaths)

INITIALS OF PERSON MAKING STATEMENT (b) (6)

PAGE 3 OF 3 PAGES

SWORN STATEMENT

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DISCLOSURE: Disclosure of your SSN and other information is voluntary.

1. LOCATION LINCOLN HALL, STE 3617, FLW, MO 65473	2. DATE (YYYYMMDD) 20140501	3. TIME	4. FILE NUMBER
5. LAST NAME, FIRST NAME, MIDDLE NAME (b) (6)	6. SSN	7. GRADE/STATUS E8	
8. ORGANIZATION OR ADDRESS HHC, 58TH TRANSPORTATION BATTALION			

9. I, (b) (6), WANT TO MAKE THE FOLLOWING STATEMENT UNDER OATH:

My name is (b) (6). I am currently the First Sergeant of HHC, 58th Transportation Battalion. I was not made aware that Specialist Lopez had indicated on his in-processing worksheet that he had skin problems, or that he gave a positive response to the question regarding history with medications or psychiatrists. There were never any training or discipline issues brought to my attention concerning Specialist Lopez. The only interaction I had with him occurred during the time that his first sergeant from Fort Bliss called me about two weeks prior to graduation. He said that Specialist Lopez's PHA status was red and asked if I could have Specialist Lopez go to SRP. It was then that I arranged that he was pulled from training and taken there. Afterwards, Specialist Lopez came to see me and I asked if everything okay. He said that it was and asked to be able to call his first sergeant to let him know that he was up to date. I was not aware of any issues regarding holiday leave, only that a couple of soldiers had some financial issues in leaving for home or to another installation. It wasn't anything major. Each of the platoon sergeants are trained in master resiliency; they have already been screened and accepted, then they go through their certification course when they get here. I myself have not gone through that training. Since I've been here it has not very often that new soldier is identified as a high risk soldier. When it happens we flag him, pull him from training and come to see him every day; though if he is identified immediately we will take him to behavioral health. Questions by (b) (6) I arrived at Fort Leonard Wood January, 2012. We pretty much house the Motor Transport Operators; we bed them down, conduct PRT after 0500 wake-up, chow, personal hygiene. From 0800 to 1600-30, they are with their instructors. They would return afterward, deal with any issues, and go to chow. I have never had any actual personal interaction with Specialist Lopez.

After the in-processing worksheet is filled it is put into the counseling packet. Any high-risk soldier identified is pulled from training. There is no current policy regarding any forwarding of that document for review that I am aware of. No one mentioned to me that he had himself indicated that he had PTSD; it would have raised a red flag to me. I understand that he has not been diagnosed; he wrote that information on the form himself. Most of our soldiers are in AIT. Of our re-classed soldiers pretty much all of them are TDY en route, but I cannot say that they have a leave form when they arrive that grants them permissive TDY. Some of the issues we noticed that a few of them wanted to bring their family, which meant that they had to get them. I would suggest to them that they go to 470 and explain their situation; also that it would be better to report to their unit,

10. EXHIBIT	11. INITIALS OF (b) (6) STATEMENT	PAGE 1 OF 3 PAGES
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ADDITIONAL PAGES MUST CONTAIN THE HEADING "STATEMENT OF _____ TAKEN AT _____ DATED _____"

THE BOTTOM OF EACH ADDITIONAL PAGE MUST BEAR THE INITIALS OF THE PERSON MAKING THE STATEMENT, AND PAGE NUMBER MUST BE INDICATED.

STATEMENT OF (b) (6) TAKEN AT 0959 DATED 20140501

9. STATEMENT (Continued)

sign in, and get with the company first sergeant or commander, instead of in transit from here, because they can provide the permissive TDY.

I do not recall any incoming MOS-T soldier requesting permissive TDY, the few we had were IET soldiers that had gotten married. I have never encountered having to stay to complete in-processing before having permission to take permissive TDY.

There really isn't a good pass off system in place in regard to a soldier leaving one unit and reporting to another. I would like there to be more information between leadership passed instead of having to rely on what the soldier decides to report. The SLRRT form is only used on permanent party soldiers. I think that it takes about 30 days before a squad leader should know his new soldier, and further that new soldiers should be handled as high risk for 60 days upon arrival to their new units. It takes 30 days to complete all the in-processing and the following 30 days should be the time spent getting to know the soldier and identifying any issues as relates to possible high risk and training. There is nothing in place that requires soldiers to respond to their sponsorship letters and that makes it difficult on the gaining command to prepare for their new soldier.

END OF STATEMENT

(b) (6)

INITIALS OF PERSON MAKING STATEMENT

(b) (6)

PAGE 2 OF 3 PAGES

9. STATEMENT (Continued)

(b) (6)

AFFIDAVIT

I, (b) (6), HAVE READ OR HAVE HAD READ TO ME THIS STATEMENT WHICH BEGINS ON PAGE 1, AND ENDS ON PAGE 3. I FULLY UNDERSTAND THE CONTENTS OF THE ENTIRE STATEMENT MADE BY ME. THE STATEMENT IS TRUE. I HAVE INITIALED ALL CORRECTIONS AND HAVE INITIALED THE BOTTOM OF EACH PAGE CONTAINING THE STATEMENT. I HAVE MADE THIS STATEMENT FREELY WITHOUT HOPE OF BENEFIT OR REWARD, WITHOUT THREAT OF PUNISHMENT, AND WITHOUT COERCION, UNLAWFUL INFLUENCE, OR UNLAWFUL INDUCEMENT.

(b) (6)

WITNESSES:

Subscribed and sworn to before me, a person authorized by law to administer oaths, this 2nd day of May, 2014 at Fort Leonard, Missouri, MO

(b) (6)

(Typed Name of Person Administering Oath)

Art. 136(a)(1)

(Authority To Administer Oaths)

ORGANIZATION OR ADDRESS

ORGANIZATION OR ADDRESS

INITIALS OF PERSON MAKING STATEMENT (b) (6)

3 June 2014

MEMORANDUM FOR RECORD

SUBJECT: Summary of Email with (b) (6), Clinical Pharmacy Specialist - Polypharmacy at General Leonard Wood Army Medical Center (GLWAMC), Fort Leonard Wood, Missouri, on 13 May 2014

1. As part of the 15-6 investigation into the 2 April Fort Hood shooting, (b) (6) provided information concerning polypharmacy at GLWACH. (b) (6) is the Clinical Pharmacy Specialist for Polypharmacy for GLWACH at Fort Leonard Wood, Missouri. (b) (6) was sworn to tell the truth, the whole truth, and nothing but the truth.
2. (b) (6) reported that he screens the monthly polypharmacy report generated by the Pharmacoeconomic Branch (PEC-B) per OTSG Policy 13-032. He creates two reports, one for patients in the highest level bucket, Bucket 1, and the other for anyone in Buckets 2-4. (b) (6) conducts a clinical interview with the individuals in Buckets 1-4, and passes findings to their primary care managers. Anyone in Buckets 5-7 receives a brief record screen and he contacts their primary care manager if any concern is identified.
3. Commanders are not contacted at any point in this process.
4. Concerning SPC Lopez-Lopez, he did not appear on the JAN 2014 polypharmacy list. I provided (b) (6) the dates of SPC Lopez-Lopez's prescriptions before and during his TDY at Fort Leonard Wood in the NOV 2013 – JAN 2014 timeframe. In reviewing them, (b) (6) stated that SPC Lopez-Lopez did meet criteria for polypharmacy, but had no explanation for why he did not appear on the master list published by PEC-B.

(b) (6)

LTC, MC
Program Director, Forensic Psychiatry
Fellowship, Center for Forensic Behavioral
Sciences

MEMORANDUM FOR RECORD

SUBJECT: Summary of Email Conversation with (b) (6), AIT Platoon Sergeant, Fort Leonard Wood, Missouri, on 6 Jun 2014.

1. As part of the 15-6 investigation into the 2 April Fort Hood shooting, (b) (6) provided information concerning the outprocessing protocol at AIT for SPC Lopez-Lopez at Fort Leonard Wood, Missouri, on 6 Jun 2014. (b) (6) is a platoon sergeant for AIT there. (b) (6) y was previously interviewed at Fort Leonard Wood and was reminded to tell the truth, the whole truth, and nothing but the truth.
2. (b) (6) stated that there is no medical outprocessing for MOS-T students, such as SPC Lopez-Lopez. MOS-Ts have to clear the company and the post, but there is no medical screening in those requirements.

(b) (6)

LTC, MC
Program Director, Forensic Psychiatry
Fellowship, Center for Forensic Behavioral
Sciences